

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

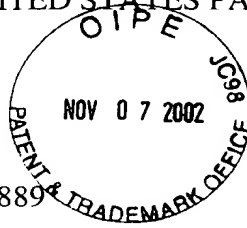
In re application of:

YU *et al.*

Appl. No. 09/314,889

Filed: May 19, 1999

For: **Death Domain Containing
Receptors**



Confirmation No.: 5766

Art Unit: 1646

Examiner: Ulm, John D.

Atty. Docket: 1488.0310006/EKS/PSC

Statement of the Substance of the Interview

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants provide herein a statement of the substance of the interview conducted between the Examiner, the Supervisory Examiner, the Patent Practice Specialist, Applicants' representatives and Dr. Thi-Sau Migone held on October 9, 2002.

During the interview, Applicants discussed the teachings found in several references cited by Applicants' in support of the utility of the claimed invention. In particular, the teachings found in Warzocha *et al.*, *Biochem. Biophys. Res. Comm.* 242:376-379 (1998) and Migone *et al.*, *Immunity* 16:479-492 (2002) were discussed.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink, appearing to read "Eric K. Steffe".

Eric K. Steffe
Attorney for Applicants
Registration No. 36, 688

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Senior Counsel
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Federal Agencies

November 7, 2002

Commissioner for Patents
Washington, D.C. 20231

WRITER'S DIRECT NUMBER:
(202) 371-2625

INTERNET ADDRESS:
ESTEFFE@SKGF.COM

Art Unit 1646

Re: U.S. Utility Patent Application
Appl. No. 09/314,889; Filed: May 19, 1999
For: **Death Domain Containing Receptors**
Inventors: YU *et al.*
Our Ref: 1488.0310006/EKS/PSC

Sir:

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Transmitted herewith for appropriate action are the following documents:

1. A copy of date-stamped postcard, previously submitted on August 7, 2002;
2. A copy of our check no. 36156 in the amount of 1,170.00 to cover extra claims fee, previously filed on August 7, 2002;
3. A copy of a Fee Transmittal Form (PTO/SB/17), previously filed on August 7, 2002;
4. Fee Transmittal Form (PTO/SB/17);
5. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1);
6. Statement of the Substance of the Interview;
7. Amendment and Reply Under 37 C.F.R. § 1.111, Exhibits 1-2 attached;
8. A copy of the Declaration of Thi-Sau Migone Under 37 C.F.R. § 1.132, with Exhibits A-H attached;
9. Our check no. 37257 for \$920.00 to cover:
\$920.00 Extension of Time Fee Under 37 C.F.R. § 1.136; and
10. One (1) return postcard.

Commissioner for Patents
November 7, 2002
Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Eric K. Steffe
Attorney for Applicants
Registration No. 36,688

EKS/PSC/lam
Enclosures

::ODMA\MHODMA\SKGF_DC1:72969:1

Applicants: YU *et al.*

Application No.: 09/314,889

Filed: May 19, 1999

For: Death Domain Containing Receptors

Due Date: August 7, 2002

Art Unit: 1646

Examiner: Ulm, John D.

Docket: 1488.0310006

Atty: EKS/PSC



When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. SKGF Cover Letter;
2. Fee Transmittal Form (PTO/SB/17);
3. Amendment and Reply Under 37 C.F.R. § 1.116, with Exhibits A-D attached;
4. Our check no. 36156 for \$1,710.00 to cover:
 \$1,710.00 Extra Claim Fee (37 C.F.R. 1.16); and
5. One (1) return postcard.



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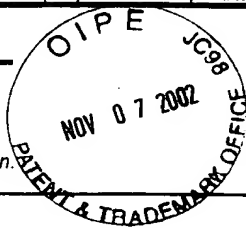
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.



Complete if known

Application Number	09/314,889
Filing Date	May 19, 1999
First Named Inventor	YU et al.
Examiner Name	Ulm, John D.
Group Art Unit	1646
Attorney Docket No.	1488.0310006/EKS/PSC

TOTAL AMOUNT OF PAYMENT (\$1,710.00)

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 19-0036
Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☒ Other*
*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES

	Extra	Fee from below	Fee Paid
Total Claims 148 - 95** = 53	X 18.00	=	954.00
Indep. Claims 18 - 9** = 9	X 84.00	=	756.00
Multiple Dependent	0	=	0.00

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim
108	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 1,710.00

** or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	481	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify):

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Eric K. Steffe	Registration No. (Attorney/Agent)	36,688	Telephone	202-371-2600
Signature		Date	8/7/02		

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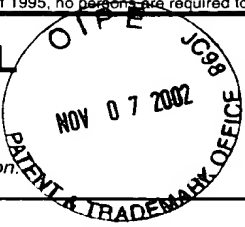
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.



Complete if Known

Application Number	09/314,889
Filing Date	May 19, 1999
First Named Inventor	Guo-Liang Yu
Examiner Name	Ulm, J.
Group Art Unit	1646
Attorney Docket No.	1488.0310006/EKS/PSC

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2. EXTRA CLAIM FEES

	Extra	Fee from below	Fee Paid
Total Claims _____ - 20** = _____	X		
Indep. Claims _____ - 3** = _____	X		
Multiple Dependent _____			

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3. ADDITIONAL FEES

Large Entity Small Entity

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Other fee (specify) :

Other fee (specify) :

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 920.00

SUBMITTED BY

Name (Print/Type)	Eric K. Steffe	Registration No. (Attorney/Agent)	36,688	Telephone	202-371-2600
Signature		Date	11/7/02		

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SKGF Rev. 6/11/02 rmac

#72049v1<SKGF_DC1> - Amendment Fee TRN.wpd